



SCOTTISH BORDERS INTEGRATION OF HEALTH AND SOCIAL CARE

SUMMARY OF OUTSTANDING FINANCE WORKSTREAM 2015/16 AT OCTOBER 2015

Action	Report to IJB	Theme	Report No	Description	Responsibility	Timescale	Notes
1	Mar-16 (a)	Governance Structure	3	Development and Approval of Annual Financial Statement of resources supporting the delivery of the Strategic Plan	NHS DoF / SBC CFO	Feb-16	On outcome of NHSB / SBC respective financial planning processes
2	Mar-16(a)	Financial Reporting	12	Specific calculation of large hospital budgets set-aside requires finalisation	NHS DoF	Feb-16	
3	Mar-16(a)	Financial Planning and Management	13	All resources within the Financial Statement require allocation in line with the Strategic Plan	NHS DoF / SBC CFO	Feb/Mar-16	
4	Mar-16 (b)	Governance Structure	4	Due diligence analysis of the sufficiency of delegated and notional resources supporting the delivery of the Strategic Plan	NHS DoF / SBC CFO	Mar-16 draft Jun-16 full	Following completion of Financial Statement
5	Mar-16 (a)	Financial Planning and Management	16	Analysis and incorporation into Financial Statement of impact of Resource Transfer is outstanding, including uplift process	NHS DoF / SBC CFO	Mar-16	
6	Mar-16 (a)	Financial Planning and Management	16	Analysis and incorporation into Financial Statement of impact of Hosted Services is outstanding	NHS DoF / SBC CFO	Feb/Mar-16	
7		Assurance	-	Report to NHSB and SBC Audit Committees over progress made to date and remaining Action Plan	NHS DoF / SBC CFO	Feb/Mar-16	
8	Mar-16 (c)	Assurance	8	The Chief Internal Auditor's appointment to the IJB requires formal approval by the IJB	NHS DoF / SBC CFO	Feb-16	Approved



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9	Mar-16 (d)	Assurance	6	A report to the IJB for approval on the arrangements that will be put in place for Risk Management within the IJB	Chief Internal Auditor	Mar-16	
10	Mar-16 (e)	Assurance	9	Risk-based Internal Audit Plan for 2016/17 to be developed and approved by IJB	Chief Internal Auditor	Mar-16	
11	Mar-16 (f)	Assurance	10	Arrangements for the IJB's Audit Committee requires approval	Chief Internal Auditor	Feb-16	Approved
12		Assurance	6	NHS Borders' and Scottish Borders Council's Risk Management Strategies require review and updating in context of Integration	Risk Management	Mar-16	Designated owners of Risk Registers within NHSB and SBC
13	Mar-16 (a)	Assurance	-	Clear statement of financial accountability of Chief Officer to Director of Finance NHSB and Chief Financial Officer (SBC)	NHS DoF / SBC CFO	Mar-16	Will be included as part of Action 1 above
14	N/A	Governance Structure	1	Appointment of Chief Financial Officer	-	Mar-16	
15	Mar-15	Governance Structure	2	Development and Issue of Written Directions by the IJB to NHS Borders and Scottish Borders Council	Chief Officer	Mar-16	
16		Assurance	5	A review of NHS Borders and Scottish Borders Council Financial Regulations to ensure consistency and provide for IJB	NHS DoF / SBC CFO	Mar-16	



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17		Assurance	7	Agreement of Insurance arrangements for the IJB	NHS DoF / SBC CFO	Jun-16	
18	Sep-16	Financial Planning and Management	18	Development of a Financial Strategy for the IJB including risk-based Reserves Strategy	CFO	Jun-16	
19	Jun-16	Assurance	6	Development and Approval of IJB Risk Management Strategy	Chief Officer	Jun-16	Initial draft to IJB Mar-16
20		Financial Planning and Management	17	Policy on the application of monthly accrual accounting requires further discussion and agreement	NHS DoF / SBC CFO	Jun-16	
21		Capital and Asset Management	20	Agree list of all capital fixed assets	NHS DoF / SBC CFO / CFO	Jun-16	
22	Sep-16	Financial Planning and Management	14	An integrated priority-based Financial Planning process must further be developed for 2017/18 (Revenue AND Capital)	NHS DoF / SBC CFO / IJB CFO	Apr-16-Sep 16	
23		Financial Planning and Management	15	Development of a single-entity IT reporting solution for the production of budget monitoring reports	NHS DoF / SBC CFO	Sep-16	